



*Flexible*

**Early Phase**

**Adaptive Designs:**

**Better than a**

**Robot Vacuum**

**Dr Christina Yap**

Reader in Biostatistics and Clinical Trials

University of Birmingham

ECMC Annual Network Meeting, 23<sup>rd</sup> May 2019

# Why I love my Robot Vacuum?

- **Quality** - Does a good job in cleaning the house
- **Automatic** – set programmes at specific times
- **Efficient** – Quick and covers all areas
- **Smart** – Knows how to manoeuvre around
- **Saves me time and energy!** Allows me to spend more time with my lovely family

# Why it may not be “perfect”?



Hmm.. It is smart... but.....

*Flexible*

# Early Phase Adaptive Designs

- Shares similar features to a robot vacuum...  
but even better?

*Flexible*

# Early Phase Adaptive Designs

- Shares similar features to a robot vacuum... but even better?
- Favourable properties
  - flexible
  - cope with unexpected circumstances
  - tailored to a trial's specific requirements, taking into account clinical, operational and patients' perspectives.



# Viola: Phase I Acute Myeloid Leukaemia (AML) Trial

(CI: Charlie Craddock)

## Primary Objective:

*Maximum Tolerated Dose (MTD)* of combined Lenalidomide and Azacitidine with a target Dose Limiting Toxicity (DLT) probability of 20%

## Trial Design:

Classic 3+3 or

Modified Continual Reassessment Method (CRM)?

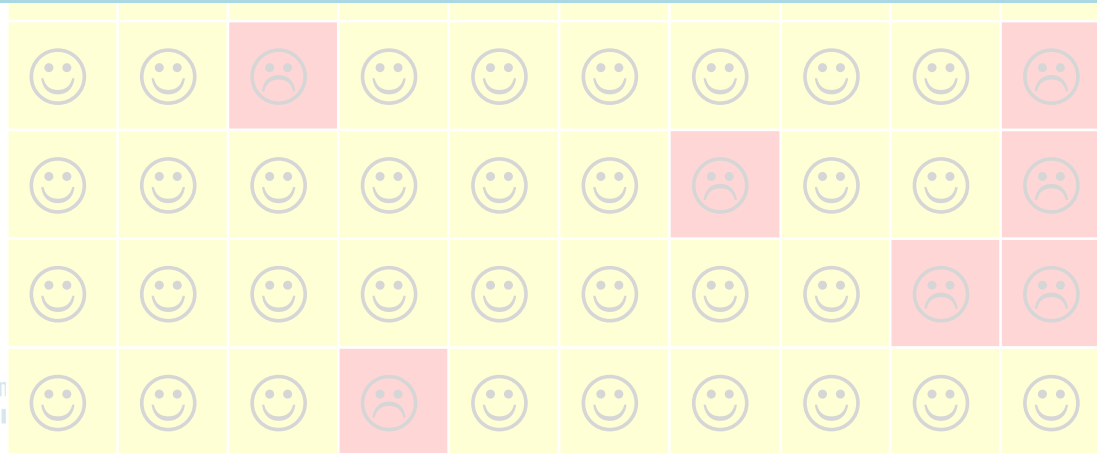
# Dose level where true DLT rate = 10%



Limited data for decision-making  
→ Efficiency is even more critical here!

😊 : No DLT

😞 : DLT



**Classic  
3+3**

**CRM**

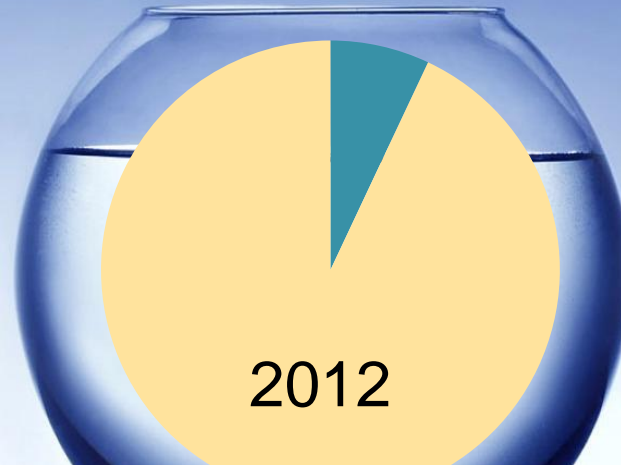




**Classic  
3+3**



**CRM**



2012

Viola – One of the first UK Phase I trials to use CRM

# Barriers in Implementing Model-Based Designs

(Yap et al 2013, Yap et al 2017, Love et al 2017)



Lack of knowledge



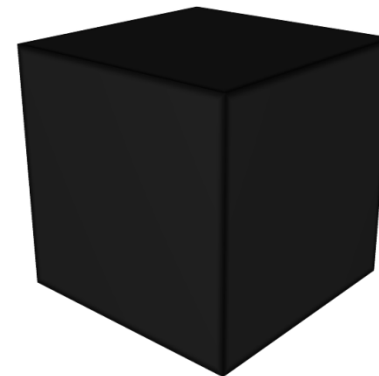
Lack of familiarity



Experience



Lack of training / expertise



Black Box

# Overcoming Barriers in Practical Implementation

Statistics in CCR

Clinical  
Cancer  
Research

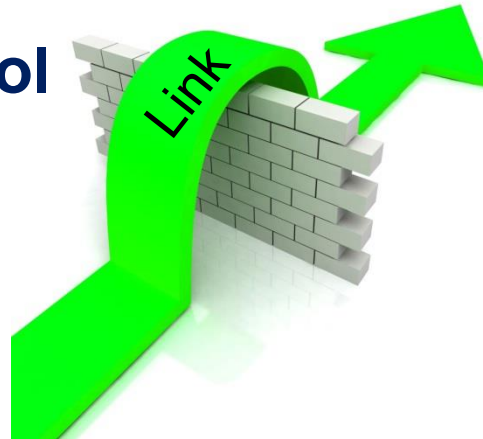
## Dose Transition Pathways: The Missing Link Between Complex Dose-Finding Designs and Simple Decision-Making



Christina Yap<sup>1</sup>, Lucinda J. Billingham<sup>1</sup>, Ying Kuen Cheung<sup>2</sup>, Charlie Craddock<sup>3</sup>,  
and John O'Quigley<sup>4</sup>

**Design Tool**  
**Operational Tool**

**Complex  
Models**



**Simple  
Decision  
Making**

Overcoming

- ✓ Challenges in Investigators' buy-in
- ✓ Operational Challenges
- ✓ Methodological Challenges

# Dose Transition Pathways: The Missing Link Between Complex Dose-Finding Designs and Simple Decision-Making

Christina Yap<sup>1</sup>, Lucinda J. Pittman<sup>2</sup>  
and ...

**DTP projects in advance** the doses recommended by a model-based design for subsequent patients (**stay, escalate, de-escalate, or stop early**), using all the accumulated information.

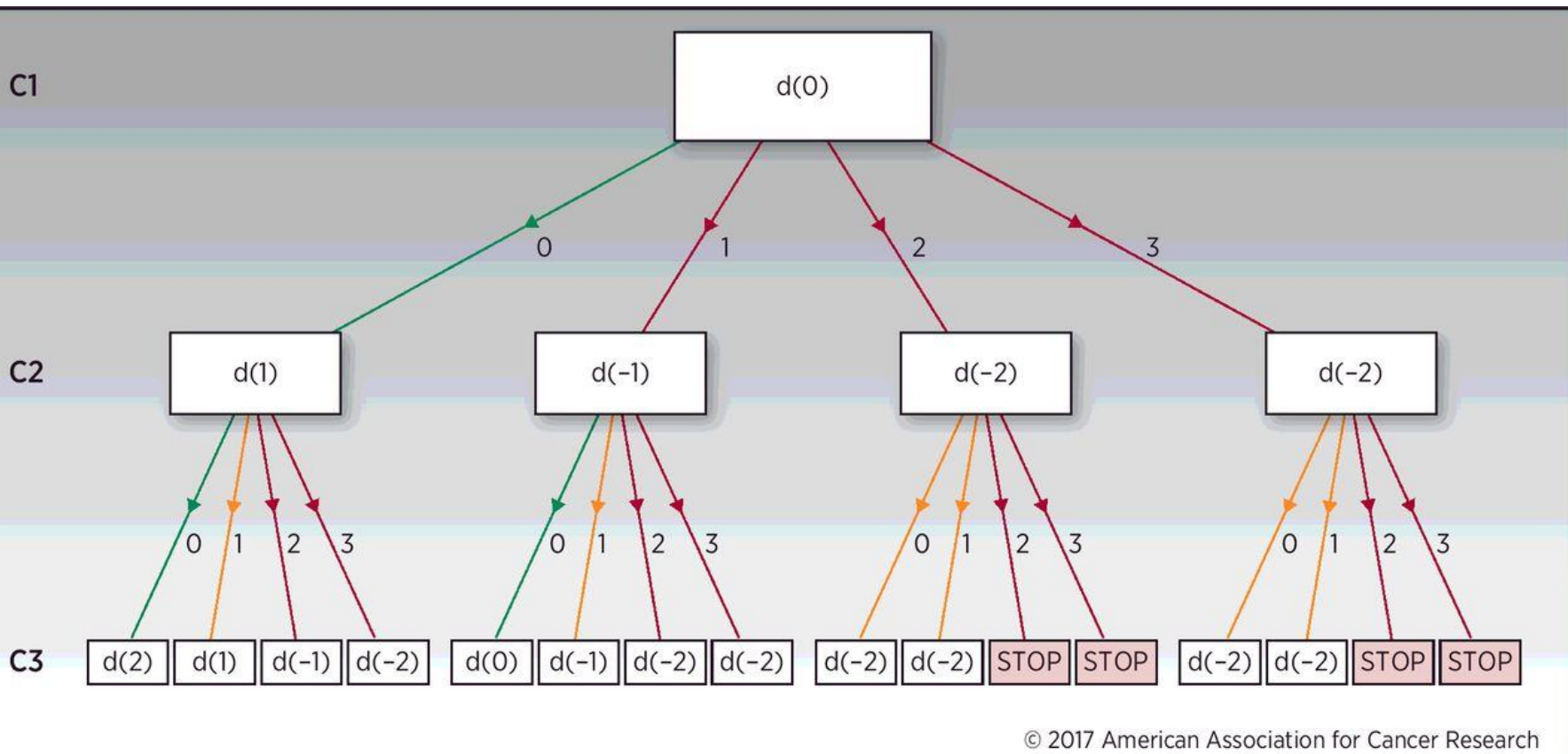
Complex  
Models



# Viola: Initial Dose Transition Pathways

(Cohorts of 3)

(Yap et al, CCR 2017)



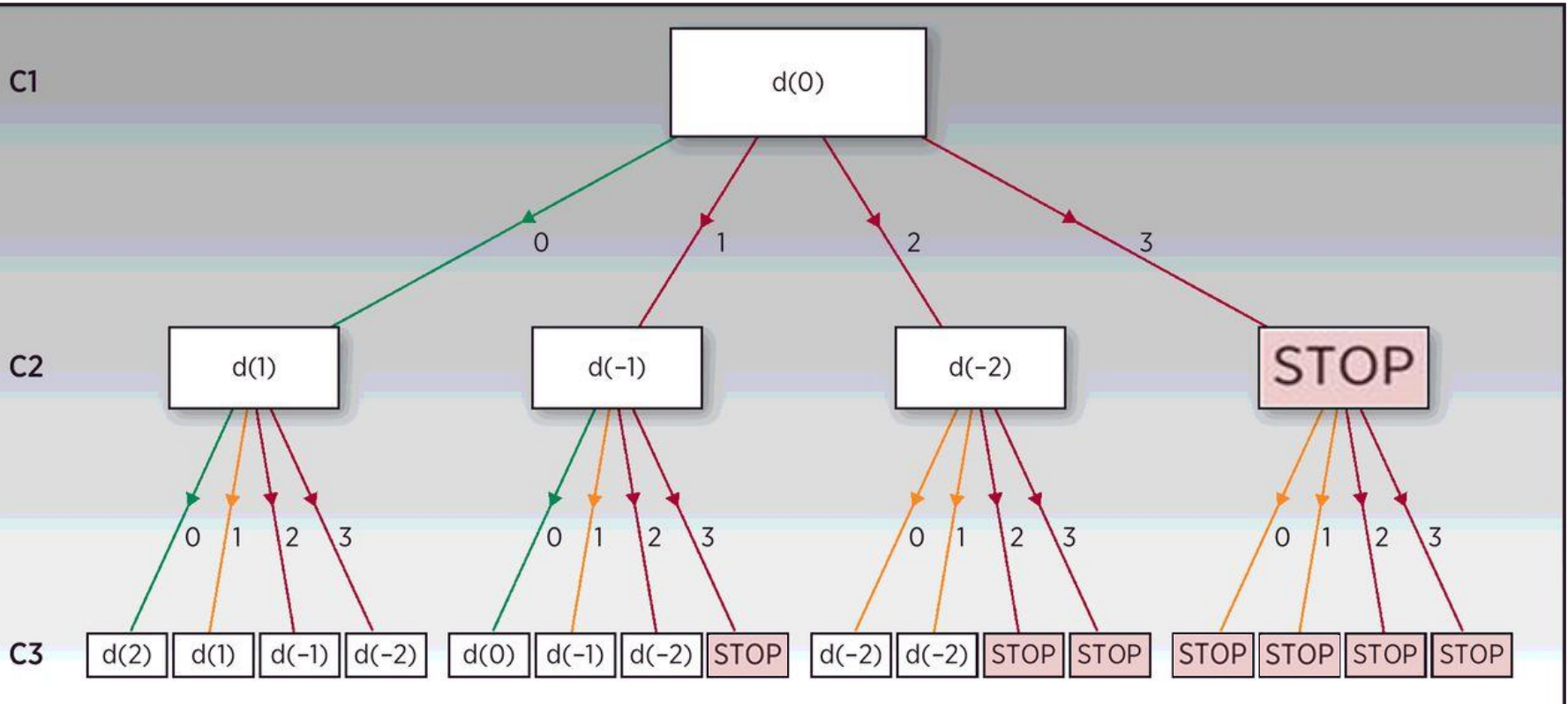
© 2017 American Association for Cancer Research

Stop early criteria for excessive toxicity:  
if  $\Pr(\text{DLT rate at lowest dose} > 30\% \mid \text{data}) > 0.72$

# Viola: Initial Dose Transition Pathways

(Cohorts of 3)

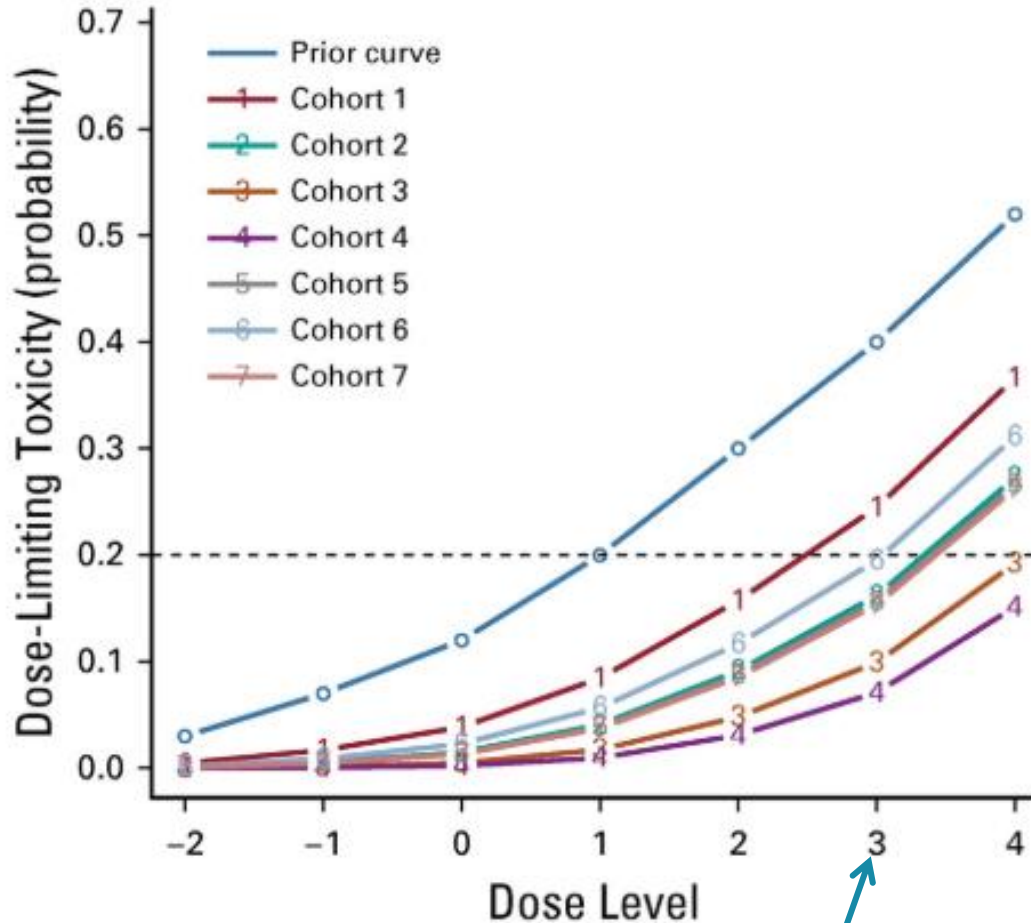
(Yap et al, CCR 2017)



**Stop early criteria for excessive toxicity:**

if  $\Pr(\text{DLT rate at lowest dose} > 30\% \mid \text{data}) > 0.6$

# Updated Dose Toxicity Curves (Craddock et al JCO 2019)



Cohort	Dose	#DLT/#patients
1	0	0/3
2	1	0/3
3	2	0/2
	3	0/1
4	3	0/2
5	3	1/3
6	3	1/3
7	3	0/4

MTD

# What Benefits Have We Seen?

(Yap et al CCR 2017, Craddock et al JCO 2019)

## Use of CRM coupled with DTP



### Design Stage

- Better engagement, communication and understanding
- Provides greater confidence on a desirable design that is suitable & ***applicable*** in practice
- **Simulations** assess the **overall performance** and **DTP** help to **fine-tune** it  
→ ***acceptable in practice***



# What Benefits Have We Seen? (cont...)

## Trial Conduct and Analysis Stage

- Ease of use of DTP by Trial Team and Trial Steering Committee
- Provides the flexibility to look ahead and decide in advance, e.g. if no DLT occurs, escalate as projected without a formal meeting.

# What Benefits Have We Seen? (cont...)

(Craddock et al JCO 2019)

## Expected Benefits

- Majority of patients treated at the MTD (62%, 13/21)
- Higher accuracy in determining the MTD.

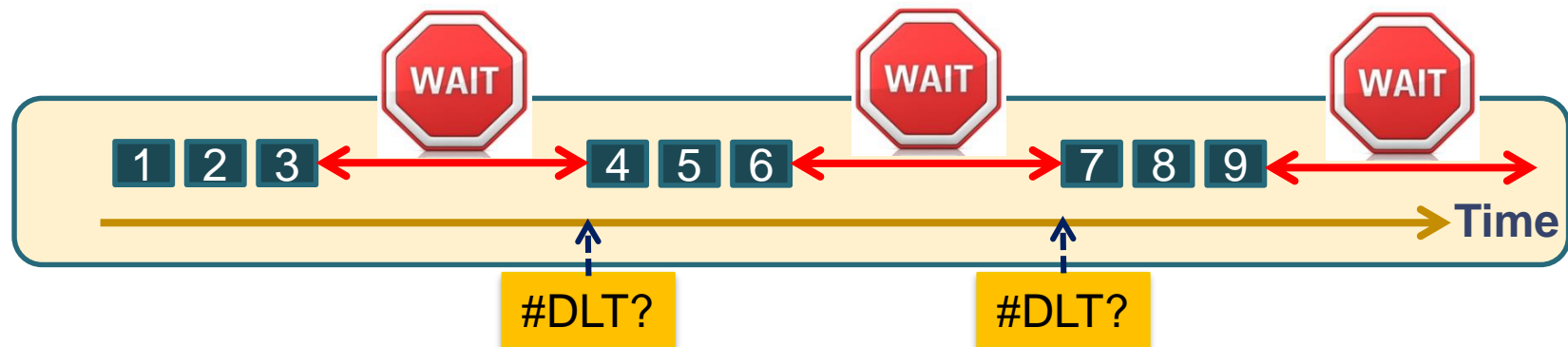
## Unexpected Challenges

- Dosing error
- Cohort size variation due to early patient drop out

The CRM design **coped effectively** with the unexpected challenges and provided the **flexibility** of not having to replace inevaluable patient(s) in a cohort → ***saving time and resources***

# What if ....?

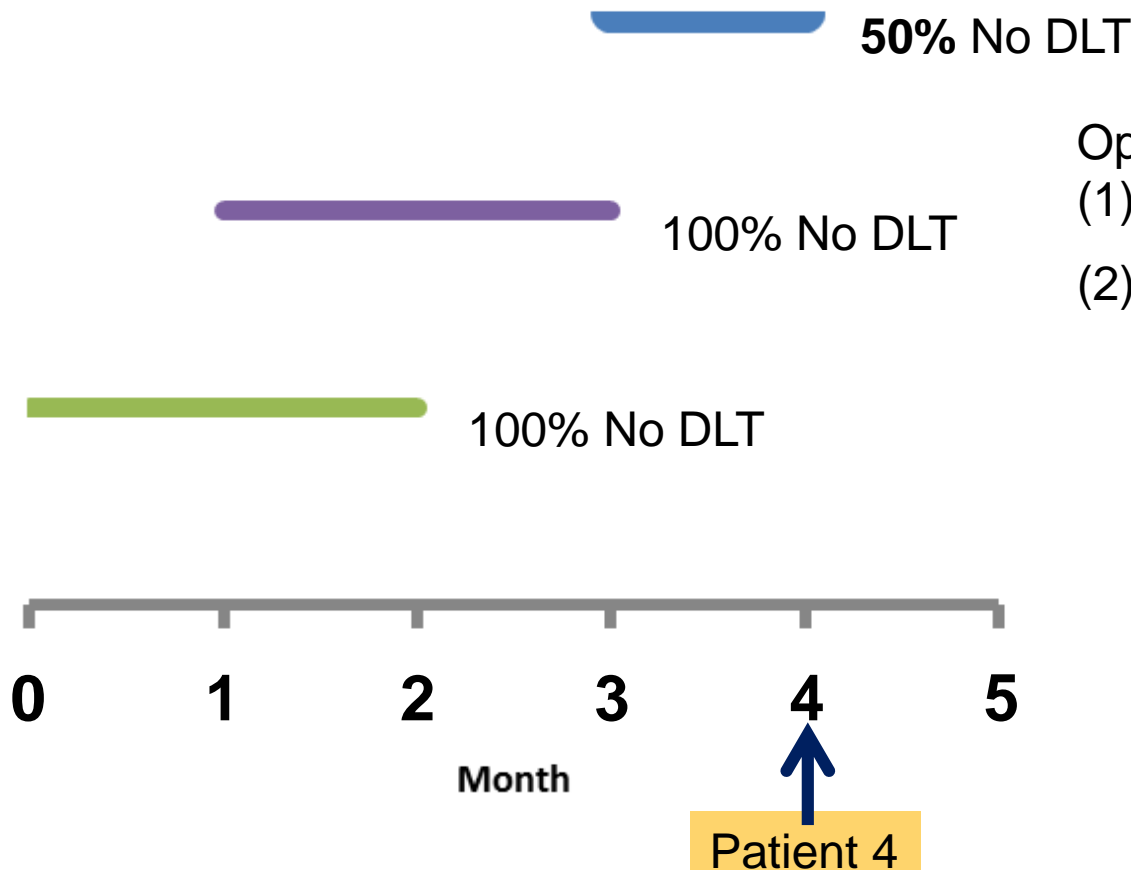
- Treatment might give late onset toxicities, e.g. radiotherapy, some molecular targeted agents
- DLT observation period might have to be longer
  - Extended trial suspensions
  - Long trial duration



# Extension of CRM: TITE-CRM (Cheung & Chappell 2000)

DLT  
observation  
period =  
months

Time to event CRM (event = toxicity)



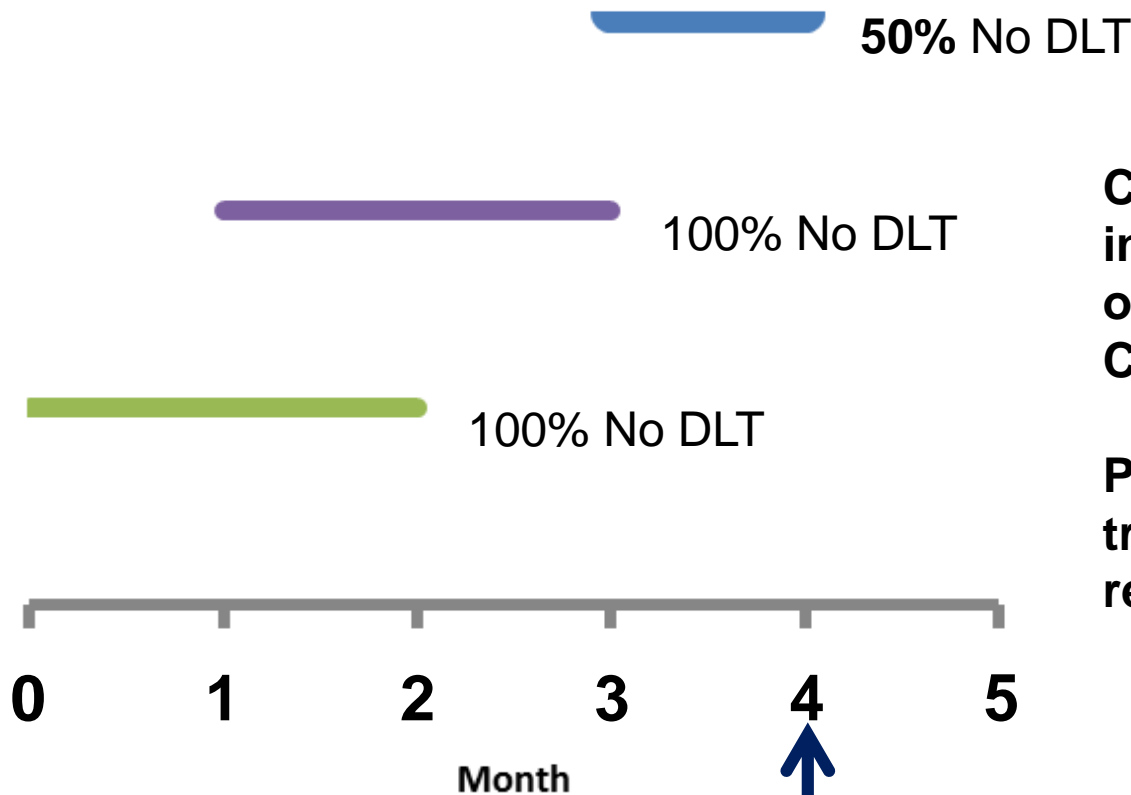
Options

- (1) Wait for another 1 month
- (2) Use all available information and place fractional weights proportional to the length of follow-up period for patients who have not experienced DLT

# Extension of CRM: TITE-CRM (Cheung & Chappell 2000)

DLT  
observation  
period =  
months

Time to event CRM (event = toxicity)



Cons: Might have a slight increase in risk of overdosing compared to CRM; ↑ resources

Pros: Overall duration of trial can be *drastically* reduced

Patient 4



# Extension of CRM: Time-to-event CRM



CI: Hisham Mehanna

**A Phase I trial in Patients with Head and Neck Cancer**

**Trial Design: Time-to-event CRM**

Uses both partial and complete DLT information of all accumulated patients and dose information to inform dose recommendation

*Initial DLT: 8 weeks*

*Final DLT: 12 weeks*

# An Early Phase MAMS Platform Trial

[Joint-Lead: Carlo Palmeri (Liverpool) & Anthony Kong (Birmingham)]



**RADIANT-BC**

## **PATIENT POPULATION**

- Breast cancer patients with (without) brain metastases

## **TRIAL DESIGN**

- Multi-arm multi-stage (currently 10-arms)
- Non-randomised (some with dose-finding)
- Patient is allocated to a treatment arm based on physician's choice of their 'real world' systemic therapy (standard of care).
- Primary Aims: Safety and preliminary activity

Funded by CRUK and pharma; in set-up

# RADIANT-BC



Little evidence that  
SRS + Immunotherapy + chemo  
is safe

**Group A: Brain Mets**  
Non-chemotherapy based

SRS + Immunotherapy +

Endocrine

Arm A1

Trastuzumab  
+ Pertuzumab

Arm A2

**Group C: Brain Mets**  
Chemotherapy based

SRS + Immunotherapy +

Carboplatin

Arm C1

Capecitabine

Arm C2

Eribulin

Arm C3

T-DM1

Arm C4

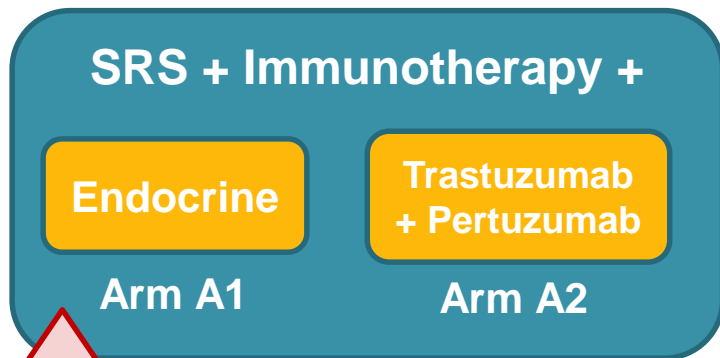
SRS: Stereotactic Radiosurgery  
(non-surgical radiation surgery)



# RADIANT-BC

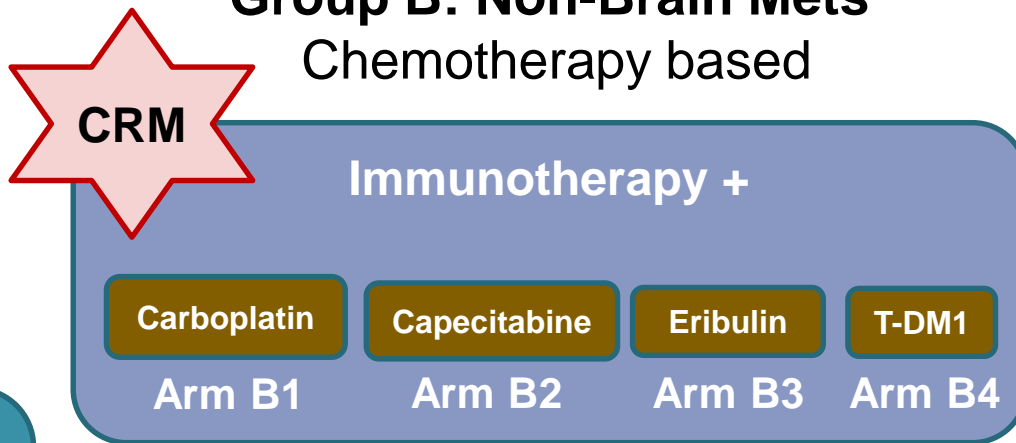


**Group A: Brain Mets**  
Non-chemotherapy based

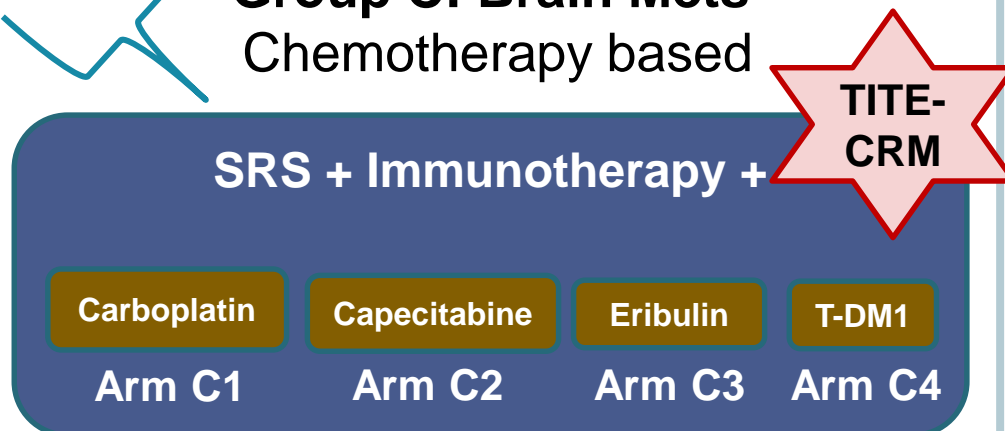


Bayesian Adaptive

**Group B: Non-Brain Mets**  
Chemotherapy based



**Group C: Brain Mets**  
Chemotherapy based



# Experience gained...

## ENGAGEMENT

↑ confidence will ↑ uptake



- **Close interaction** with clinical investigators and trial managers to co-develop a suitable, ***acceptable*** design tailored to the needs of the trial is crucial
  - Incorporate clinical judgements
  - Take into account operational aspects
- User friendly tools play an important role

# Your Plan



STRAIGHTNESS TRAINING  
*Marijke de Jong*

# Reality



A **flexible** design that can adapt easily  
is even more attractive here!

# Clinicians' Perspectives...

“**Very positive.** But *needs time from CIs to invest* to understand its capabilities”

Prof Hisham Mehanna, **Wisteria CI**

“I like the fact..

- **more dynamic** than 3+3 design, *making use of all the available data...*
- model will really enable the trial to proceed **much faster (+ safe for patients)**...
- **early and late DLT periods...** can proceed to next level if early DLT period is acceptable to enable delivery of trial in time but subsequently can move back to lower level if late DLT period shows more toxicities”

Dr Anthony Kong & Prof Carlo Palmeri, **Radiant-BC Joint CIs**

# Clinicians' Perspectives...

“VIOLA is a compelling example both of the importance of **innovation in trial design** driven through a **creative interaction** between statisticians and clinicians as well as the **pivotal role** of [UK Trials Acceleration Programme] - particularly in rare or complex cancer”  
Prof Charlie Craddock, VIOLA CI

“... **really exciting**, but ... difficult to get the companies to buy into these novel designs – they still seem to prefer the standard 3+3 approach.  
In the future, with increasingly **personalised medicine...** much more common to use these novel designs.”  
Prof Mhairi Copland, MATCHPOINT & MUSICAL CI

“Problem... Recruitment... Could the CRM come to our **rescue?**”  
“I am a **happy PI** thanks to CRM.”

Dr Graham Collins, RomiCAR CI

# Features of a good adaptive design

- **Quality**
- **Automatic – pre-planned adaptations**
- **Efficient**
- **Smart**



*Well-planned*

*Flexible*

*Copes with the unexpected*

# What makes a good adaptive design?

- Quality
- Automatic – pre-planned adaptations

Why Are We Not Doing More?

- Beneficial to patients

*Well-planned*

*Flexible*

*Copes with the unexpected*

# Acknowledgements

## For all listed trials:

All involved including

Trial Management Groups, Independent Safety Committees, Chief Investigators (C Craddock, G Collins, M Copland, H Mehanna, A Kong, M Drummond, A Mead, C Palmeri), Trial Statisticians (D Slade, A Kirkham, K Brock, A Jackson, R Boucher, I Ahmed, SM Vicente & J Khan) and

## Patients and Families

**Funders:** CRUK, ECMC, Bloodwise (Trials Acceleration Programme) and Pharma

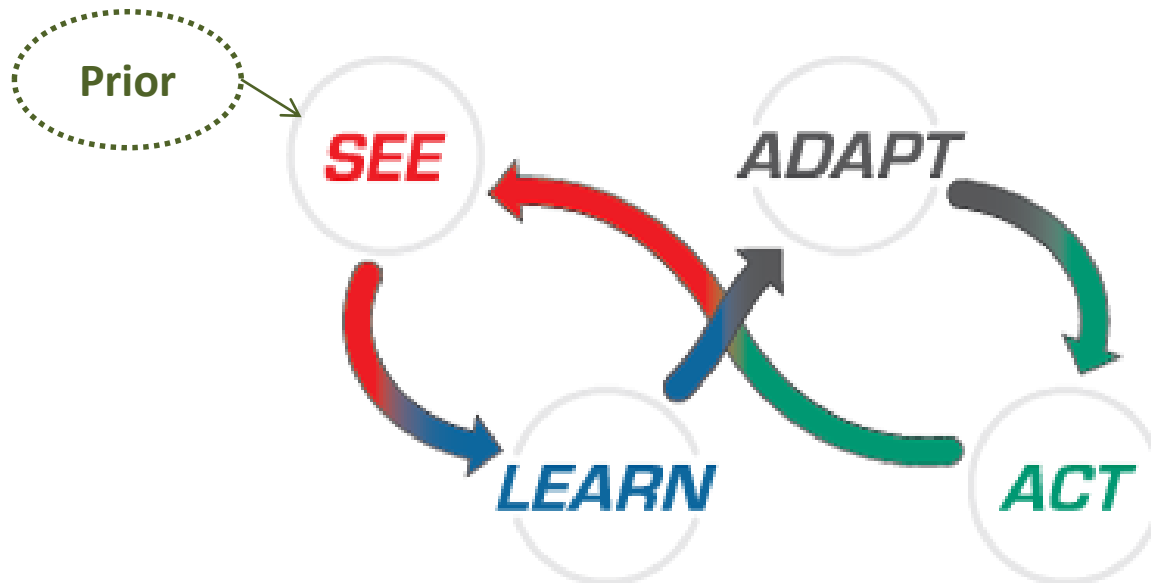
**Co-authors of Viola Trial paper and DTP paper**



**A Team Effort**



# Thank You



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