

Why do we inflict research biopsies on our patients?

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Dr. Richard Baird - University of Cambridge

Why do we inflict research biopsies on our patients?

Talk Outline

- Why do we inflict research biopsies on our patients?
- Contemporary drug development
- Risks of research biopsies to patients
- Research biopsies - how can we improve?
- For the future ...

Why do we inflict research biopsies on our patients?

2 main reasons:

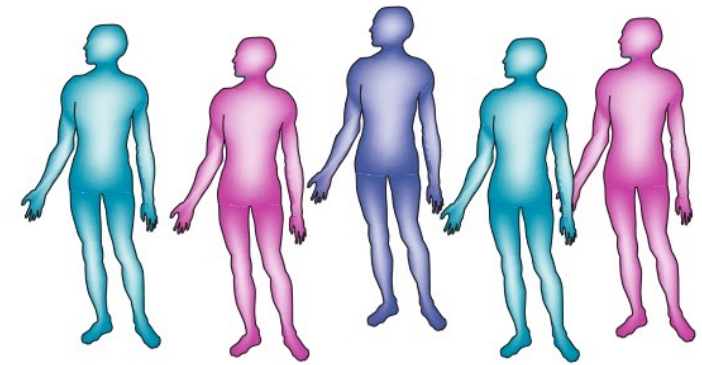
1. Individual patients respond differently to the same treatment
2. A cancer within an individual patient can change over time, and may therefore require a change in treatment

Precision / personalised medicine approaches aim to match the (current) molecular profile of an individual patient's cancer to the best possible targeted therapy

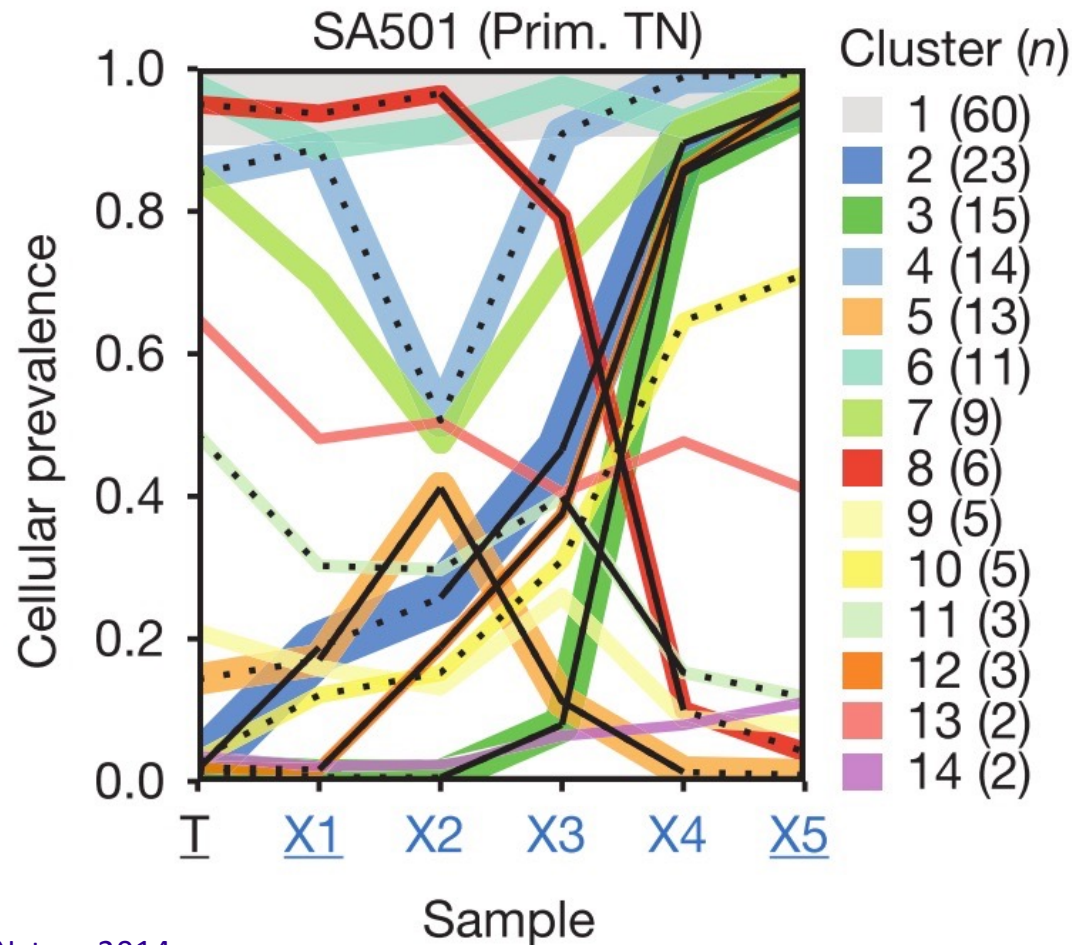
Personalised / precision medicine:

Patients respond differently to the same treatment

- No two cancer patients are identical
- Two cancer patients with the same tumour type and stage may have completely different responses to the same (drug) treatment
- These differences in clinical outcomes can in some situations be explained by differences in the molecular profiles of their tumours
- Examples in standard practice:
 - Breast cancer: ER / HER2
 - Lung cancer: EGFR mutation / ALK translocation
- One of the key aims of research biopsies today is to develop the predictive biomarkers of tomorrow



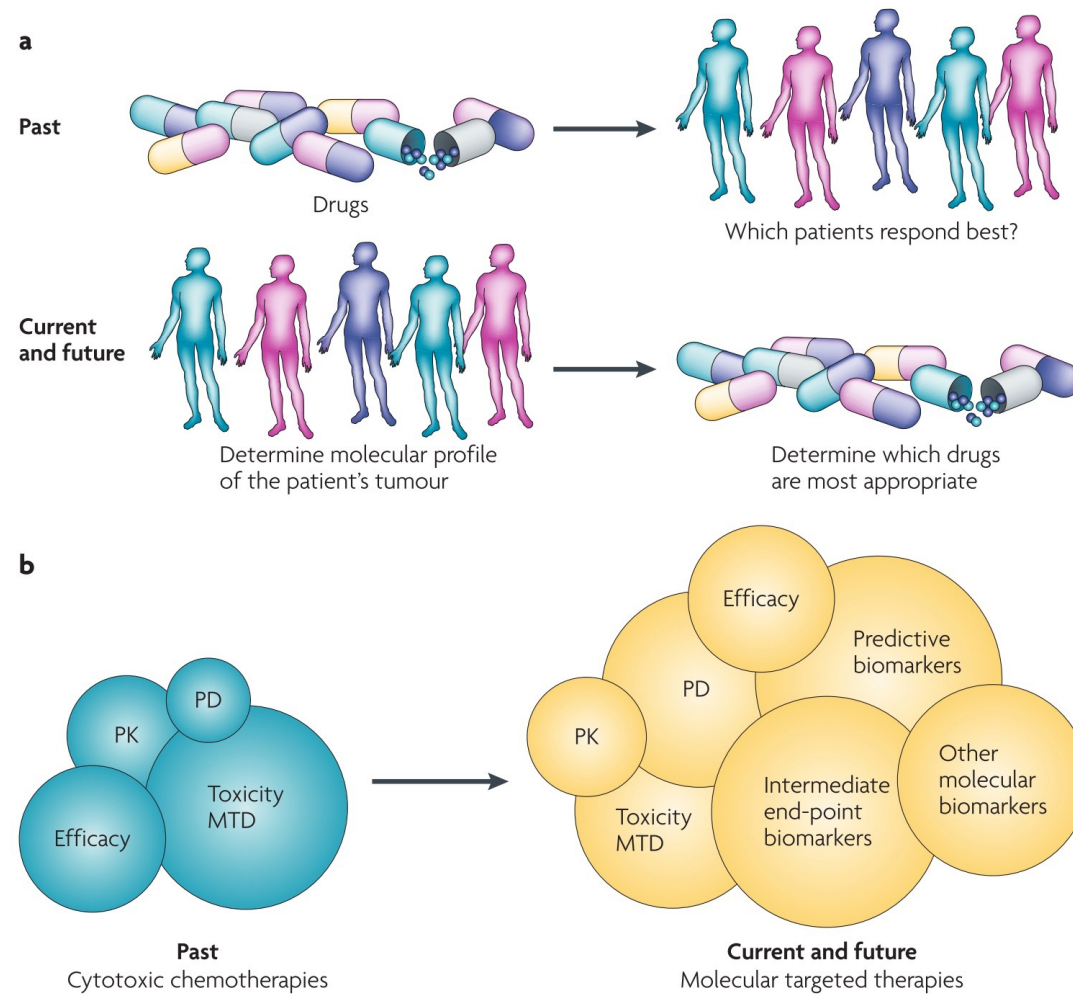
Personalised / precision medicine: Cancers change over time



- Triple negative breast cancer
- Different colours represent different sub-clones of cancer cells within the tumour
- Looks like tumours can be complex mixes of cell populations which compete with each other
> natural selection
> clonal evolution

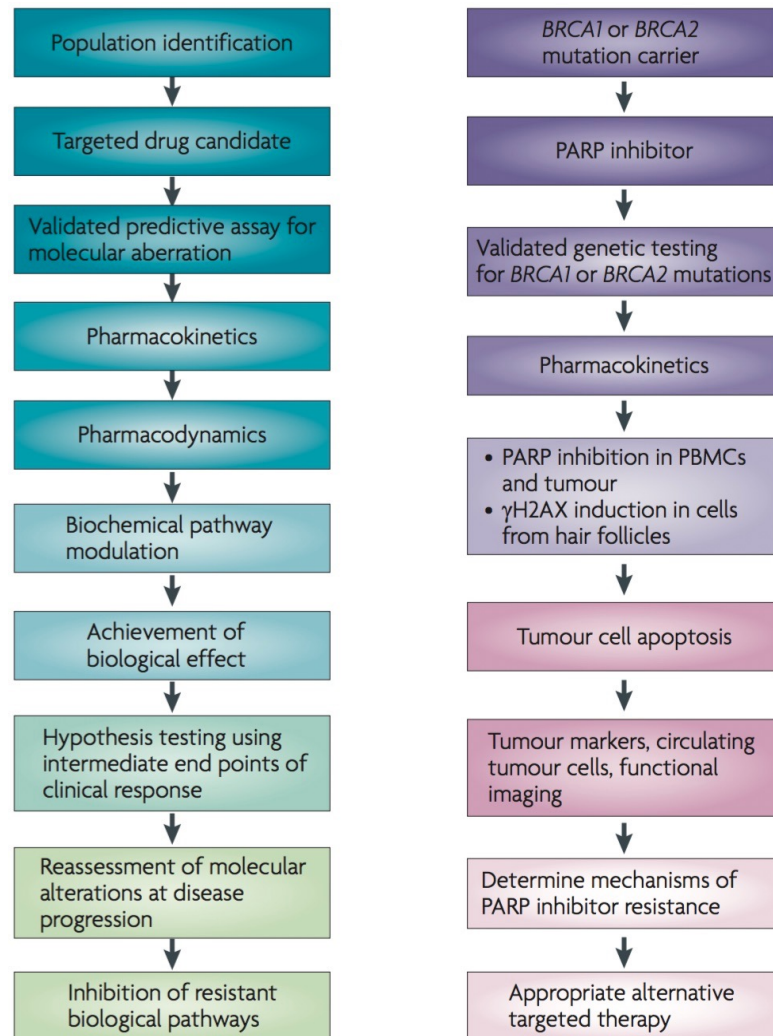
Eirew Nature 2014

Personalised / precision medicine: Trends in contemporary drug development



Yap Nature Reviews Cancer 2010

Personalised / precision medicine: Contemporary drug development – “pharmacologic audit trail”



- To really understand why a drug works in one patient and not another
- We need to understand a sequence of scientific questions
- So-called “Pharmacologic audit trail”

Yap Nature Reviews Cancer 2010

Personalised / precision medicine: Contemporary drug development – what can be achieved

| Phase | Drug | Target | Disease | ORR | References |
|-------------------|--------------------------|----------------------------------|--|------------|---|
| 2 | Trastuzumab | HER2 | Breast cancer | 12% | Baselga JCO 1996 |
| 1 | Imatinib | BCR-ABL C-KIT | CML GIST | 54% 54% | Druker NEJM 2001 Demetri NEJM 2002 |
| 1 | Olaparib | PARP | BRCA mutant cancers (breast, ovary, prostate) | 47% | Fong NEJM 2009 |
| 1 | Vismodegib | SMO, PTCH1 Hedgehog mutations | Advanced basal cell carcinoma | 55% | Von Hoff NEJM 2009 |
| 1-2 | Ruxolitinib | JAK2 | Myelofibrosis | 52% | Verstovsek NEJM 2010 |
| 1 | Vemurafenib | BRAF V600E mutant | Melanoma | 81% | Flaherty NEJM 2010 |
| 1 | Crizotinib | ALK translocations | NSCLC | 57% | Kwak NEJM 2010 |
| 1 | CAL-101 / GS1101 | PI3K δ -isoform | NHL/MCL CLL | 62% 56% | Kahl ASH 2011 Brown ASCO 2013 |
| Post- approval | Gefitinib / Erlotinib | EGFR mutant | NSCLC | 66% 82% | Inoue JCO 2009 Zhou Lancet Oncol. 2011 |

Risks of research biopsies - to patients: What level of risk is acceptable (to patients & their doctors)

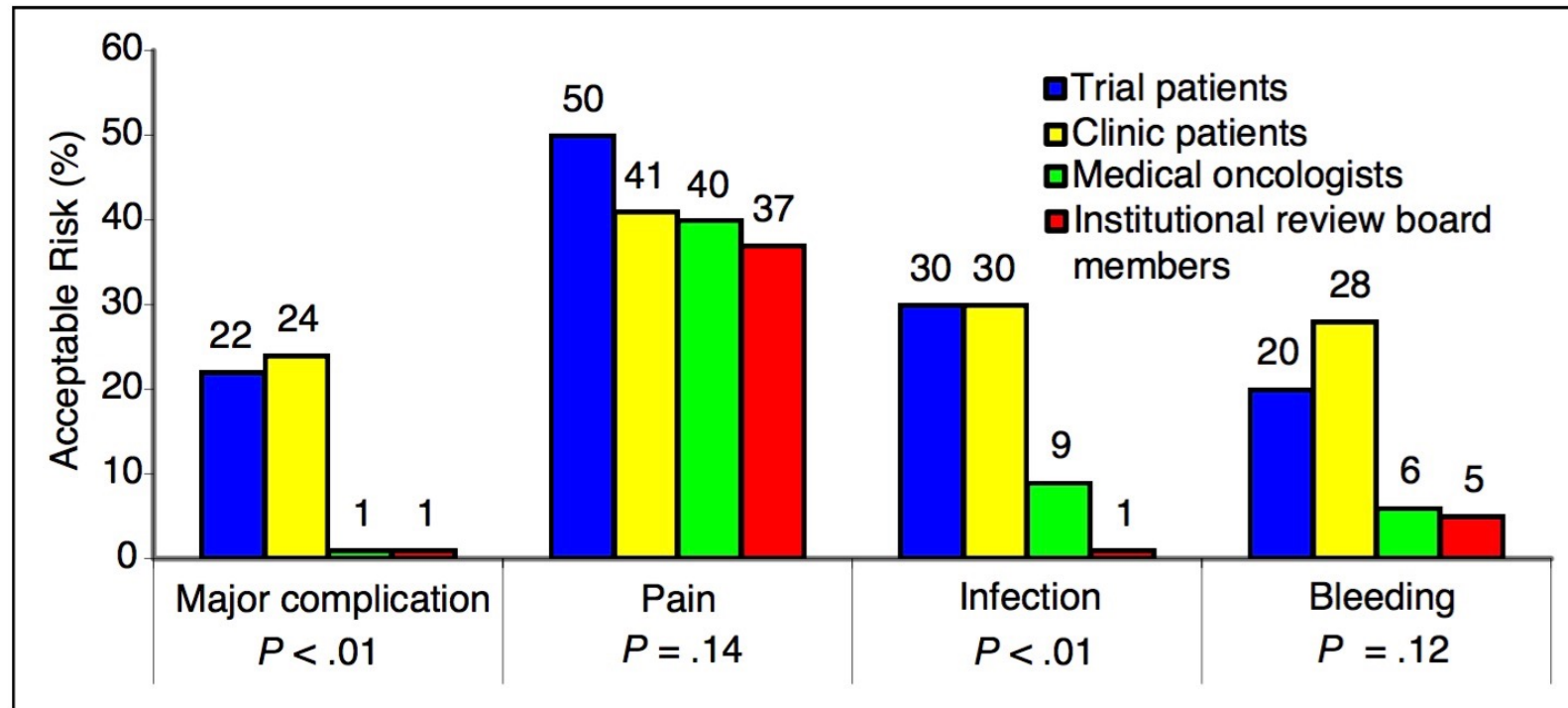


Fig 1. The percentage of trial patients, clinic patients, medical oncologists, and institutional review board members who would accept a 5% to 10% risk of a major complication, pain, infection, or bleeding associated with the research-related biopsy.

Agulnick .. Siu JCO 2006

Risks of research biopsies - to patients:

What level of risk actually occurs?

| Location | No. of Biopsies (N = 745) | % Total Biopsies Performed | Major Complications [CTCAE grade 3] | | Minor Complications [CTCAE grade 1 or 2] | |
|---------------------------------|---------------------------|----------------------------|-------------------------------------|------|--|------|
| | | | No. | % | No. | % |
| Chest-intrathoracic | 211 | 28.3 | 5 | 2.4 | 31 | 14.7 |
| Pulmonary | 177 | | | | | |
| Mediastinal/hilar | 13 | | | | | |
| Pleural | 20 | | | | | |
| Pericardial | 1 | | | | | |
| Abdomen/pelvis-solid organ | 189 | 25.4 | 1 | 0.53 | 2 | 1.1 |
| Liver | 151 | | | | | |
| Adrenal | 34 | | | | | |
| Kidney | 2 | | | | | |
| Spleen | 1 | | | | | |
| Uterus | 1 | | | | | |
| Nonsolid organ | 345 | 46.3 | 0 | | 0 | |
| Head and neck* | 88 | 11.8 | | | | |
| Chest-extrathoracic† | 110 | 14.8 | | | | |
| Extremities‡ | 11 | 1.6 | | | | |
| Abdomen/pelvis-intraperitoneal§ | 34 | 4.6 | | | | |
| Abdomen/pelvis-retroperitoneal | 27 | 3.6 | | | | |
| Abdomen/pelvis-extraperitoneal | 75 | 10.1 | | | | |

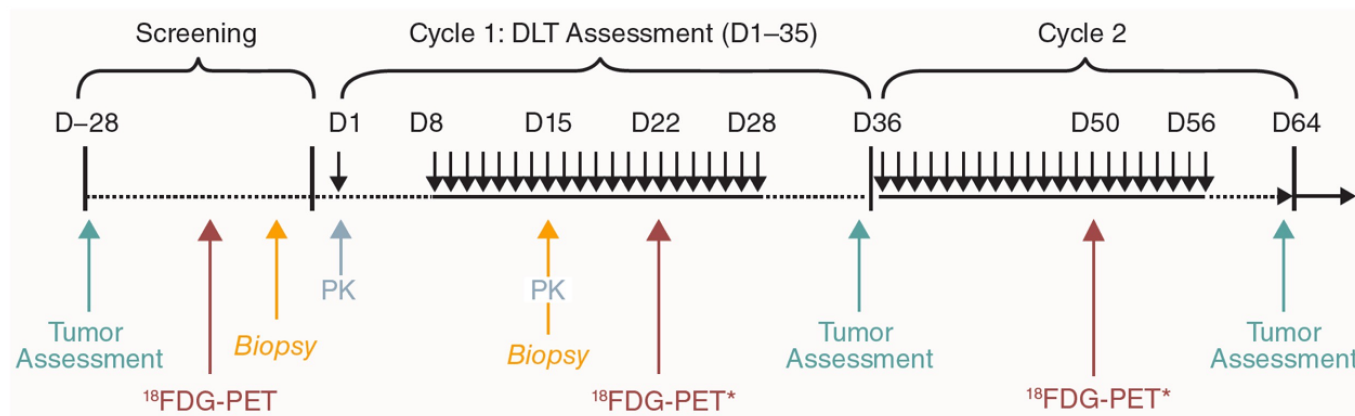
Overman JCO 2010

- MD Anderson series (745 biopsies)
- Overall complication rate 5.2%
- Major complication rate 0.8%
- Overall complication rate by site:
 - Intrathoracic 17.1%
 - Abdominal / pelvis solid organ 1.6%
- Similar results from other institutions

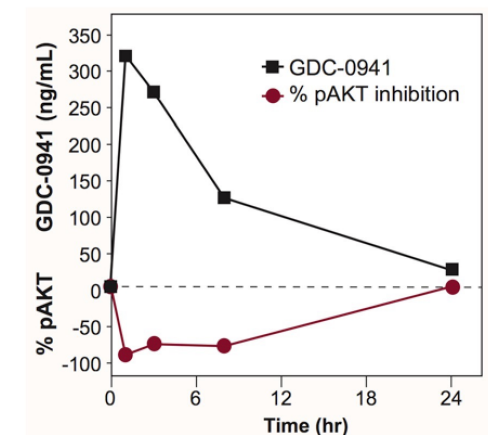
Dana-Farber Boston [Vas-Luis Br.Can.Res.Treat 2013]
Royal Marsden London [Sarker NCRI 2009]

Personalised / precision medicine: Contemporary drug development – trials can be intense

- Example of contemporary, biomarker intensive phase 1 study
- GDC-0941 – pan-PI3kinase inhibitor (Genentech) – (Baird, de Bono et al. ASCO 2010 #2613)
- Timeline for assessments during the first 2 cycles:



- Pharmacodynamic studies provided proof of target inhibition and elaboration of PK-PD relationships



Personalised / precision medicine: Biomarker studies in phase 1 trials - criticisms

- Most attempts to identify predictive biomarkers in phase 1 "nothing more than expensive fishing expeditions"
 - drug response is multifactorial (may not just depend on target inhibition)
- Our assumptions about the true drug mechanism of action may be wrong
 - eg. multi-kinase inhibitor sorafenib (originally developed as a RAF inhibitor, later shown to exert antitumour activity through VEGF inhibition)
- Performing extra research tumour biopsies poses a significant increased risk and may be unethical
- Incorrectly applied biomarker studies run the risks of:
 - exposing patients to ineffective drugs
 - discarding useful drugs

Ratain CCR 2007 13(22):6545-6548

Personalised / precision medicine: The value of biomarker studies in phase 1 trials

- Understanding the true mechanisms for drug sensitivity and resistance in the clinic is needed as soon as possible for any drug – this requires biomarker studies
- There is little evidence that extra research tumour biopsies in phase 1 studies pose an excessive risk^{1,2}; tumour biopsies for PD studies are often restricted to:
 - patients with easily accessible tumours, likely to be safe
 - dose levels likely to be biologically active (eg. at predefined PK levels, after PD effects seen in surrogate tissues)
- Increasing number of examples where well-designed phase 1 biomarker studies have made a significant contribution to successful drug development
 - HER2 - trastuzumab / other HER2-directed therapies
 - BRCA mutations - olaparib / other PARP inhibitors
 - ALK translocations - crizotinib / other ALK inhibitors

¹Dowlati CCR 2001 (7) 2971-6

²Sarker NCRI 2009 Abstract C143

Risks of research biopsies - to patients

The importance of fully informed consent



"You might feel a little prick."

Risks of research biopsies - to patients

The importance of fully informed consent

Does the patient information sheet include:

- Statement regarding the research nature of biopsy?
- Statement regarding the lack of personal benefit from biopsy?
- A description of the scientific rationale for research biopsies?
- Mention that image-guidance will be used?
- A description of the level of risk for different biopsy sites
- (Overman JCO 2013: 57 research studies)

Table 3. Inclusion of Research Biopsies in Study Informed Consents

| Characteristic | Total | | Optional Research Biopsy Studies | | Mandatory Research Biopsy Studies | | | |
|--|-------|-----|----------------------------------|-----|-----------------------------------|-----|---------------------|-----|
| | | | | | Integral Biomarker | | Correlative Science | |
| | No. | % | No. | % | No. | % | No. | % |
| Overman JCO 2010 | | | | | | | | |
| Statement regarding research nature of | | | | | | | | |
| Study | 57 | 100 | 19 | 100 | 18 | 100 | 20 | 100 |
| Biopsy | 12 | 21 | 1 | 5 | 3 | 17 | 8 | 40 |
| Optional procedures* | 52 | 91 | 18 | 95 | 16 | 89 | 18 | 90 |
| Statement regarding lack of benefit from | | | | | | | | |
| Study | 57 | 100 | 19 | 100 | 18 | 100 | 20 | 100 |
| Biopsy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Optional procedures* | 32 | 56 | 14 | 74 | 10 | 56 | 8 | 40 |
| Statement regarding alternatives to undergoing | | | | | | | | |
| Study | 57 | 100 | 19 | 100 | 18 | 100 | 20 | 100 |
| Biopsy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Optional procedures* | 50 | 88 | 19 | 100 | 16 | 89 | 15 | 75 |
| Scientific rationale for biopsy provided | 48 | 84 | 17 | 89 | 17 | 94 | 14 | 70 |
| Statement describing the use of a needle to obtain biopsy† | 38 | 67 | 14 | 74 | 9 | 50 | 15 | 75 |
| Use of image guidance mentioned | 14 | 25 | 1 | 5 | 6 | 33 | 7 | 35 |
| Statement regarding safety or accessibility of biopsy site | 20 | 35 | 8 | 42 | 6 | 33 | 6 | 30 |

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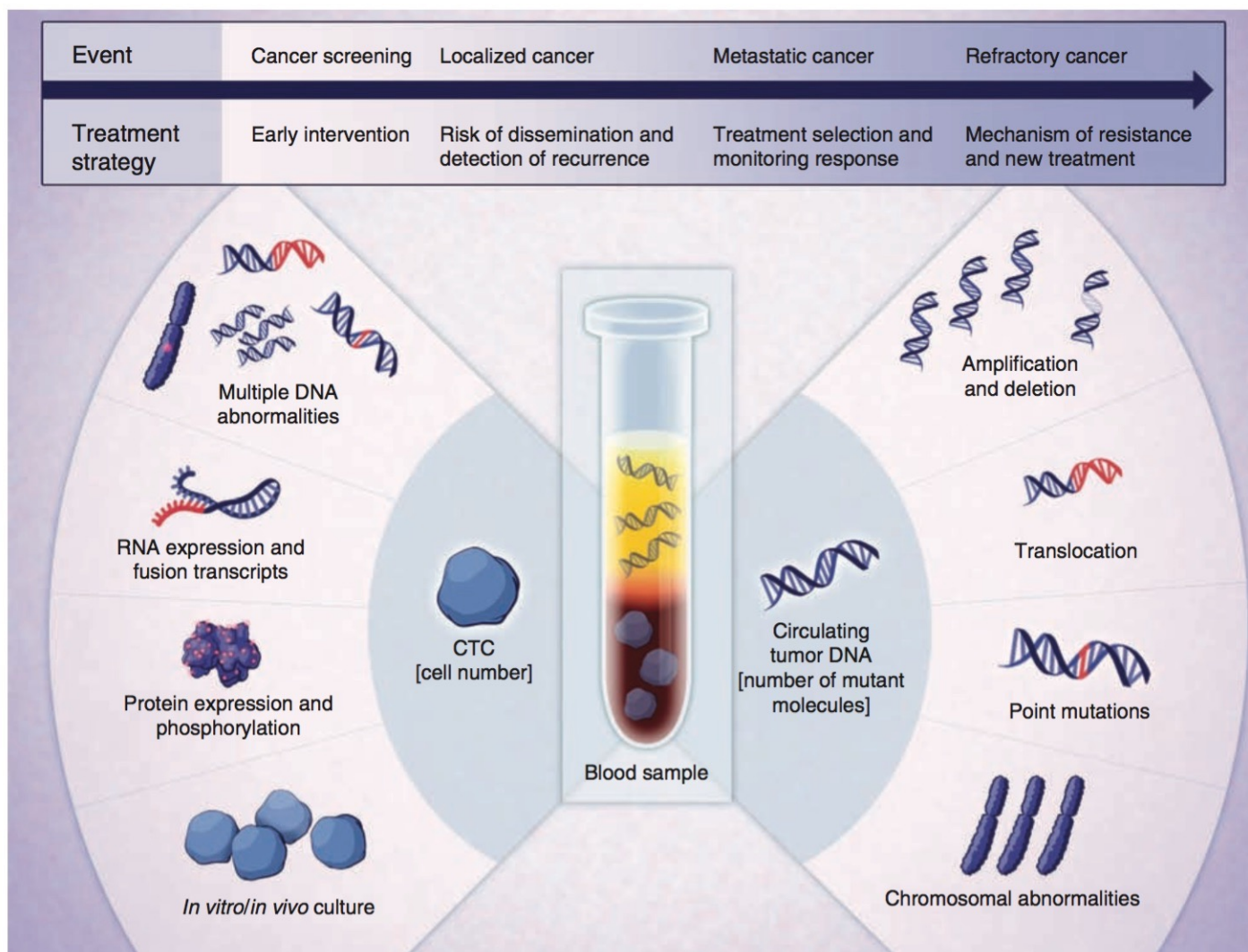
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Robust studies correlating cancer molecular profiles with response to different treatments are crucial to improve clinical outcomes for patients with cancer – research biopsies will continue to be important for this ... but we can improve ...

Research biopsies – how can we improve?

1. Ensure strong scientific rationale (including statistical plan)
2. Ensure fully informed patient consent
3. Minimise risk on the day of biopsy
 - image-guidance, skill of operator, pressure / observation afterwards
4. Clinical governance around biopsies - monitor adverse events
5. Ensure quality of tissue acquisition, processing and storage
6. Ensure that we complete and report biomarker data on research biopsies
7. And finally ... develop less-invasive methods for biomarker research
 - liquid biopsies (circulating tumour DNA), imaging etc..

Research biopsies – is the future liquid?



Thank you – any questions?